§ 10.214

Table 10.213(c)—Guidelines for Evaluating Applicants for MMCs Who Have NDR Motor Vehicle Convictions Involving Dangerous Drugs or Alcohol ¹

| Number of convictions | Date of conviction | Assessment period |
|-----------------------|--|---|
| 1 | Less than 1 year More than 1, less than 3 years | year from date of conviction. Application will be processed, unless suspension, or revocation ² is still in effect. Applicant will be advised that additional conviction(s) may jeopardize merchant mariner credentials. |
| 1 | More than 3 years old | Not necessary unless suspension or revocation is still in effect. |
| 2 or more | Any less than 3 years old | 1 year since last conviction and at least 3 years from 2nd most recent conviction, unless suspension or revocation is still in effect. |
| 2 or more | All more than 3 years old | Application will be processed unless suspension or revocation is still in effect. |

¹ Any applicant who has ever been the user of, or addicted to the use of, a dangerous drug shall meet the requirements of paragraph (f) of this section

paragraph (f) of this section.

² Suspension or revocation, when referred to in table 10.213, means a State suspension or revocation of a motor vehicle operators ligage.

- (d) Any application may be disapproved if information from the NDR check leads the Coast Guard to determine that the applicant cannot be entrusted with the duties and responsibilities of the MMC or endorsement for which the application is made. If an application is disapproved, the Coast Guard will notify the applicant in writing of the reason(s) for disapproval and advise the applicant that the appeal procedures in subpart 1.03 of part 1 of this chapter apply. No examination will be given pending decision on appeal.
- (e) Before disapproving an application because of information received from the NDR, the Coast Guard will make the information available to the applicant for review and written comment. The applicant may submit records from the applicable State concerning driving record and convictions to the Coast Guard REC processing the application. The REC will hold an application with NDR-listed convictions pending the completion of the evaluation and delivery by the individual of the underlying State records.
- (f) If an applicant has one or more alcohol or dangerous drug related criminal or NDR-listed convictions, if the applicant has ever been the user of, or addicted to the use of, a dangerous drug, or if the applicant applies before the minimum assessment period for his or her conviction has elapsed, the Coast Guard may consider the following factors, as applicable, in assessing the applicant's suitability to hold

- an MMC. This list is intended as a guide for the Coast Guard. The Coast Guard may consider other factors, which it judges appropriate to a particular applicant, such as:
- (1) Proof of completion of an accredited alcohol or drug abuse rehabilitation program:
- (2) Active membership in a rehabilitation or counseling group, such as Alcoholics Anonymous or Narcotics Anonymous;
- (3) Character references from persons who can attest to the applicant's sobriety, reliability, and suitability for employment in the merchant marine including parole or probation officers;
 - (4) Steady employment; and
- (5) Successful completion of all conditions of parole or probation.

[USCG-2006-24371, 74 FR 11216, Mar. 16, 2009, as amended by USCG-2006-24371, 74 FR 39218, Aug. 6, 2009]

§ 10.214 Security Check.

Until April 15, 2009, the Coast Guard may conduct a security check on an applicant for an MMC, utilizing the criminal record review discussed in §10.211 of this part.

§ 10.215 Medical and physical requirements.

(a) Medical and Physical Exams. To qualify for an MMC an applicant must meet the medical and physical standards in this section. Columns 2 through 5 of table 10.215(a) provide the specific exam, test, or demonstrations required to obtain the corresponding credential

Coast Guard, DHS § 10.215

listed in column 1. Further clarifications of the requirements contained in the table are found throughout this section. Any required test, exam, or demonstration must have been performed, witnessed, or reviewed by a licensed medical doctor, licensed physician assistant, or licensed nurse practitioner.

(1) First-class pilots, and those serving as pilots under $\S15.812$ of this part,

on vessels and tank barges of 1,600 GRT or more must satisfactorily complete annual medical exams and, unless exempt per 46 CFR 16.220, pass annual chemical tests for dangerous drugs and submit the results to the Coast Guard.

(2) Medical exams for Great Lakes Pilots must be conducted by a licensed medical doctor in accordance with the physical exam requirements in 46 CFR 402.210.

TABLE 10.215(a)—MEDICAL AND PHYSICAL REQUIREMENTS FOR MARINER ENDORSEMENTS 1

| Credential | Vision test | Hearing test | General medical exam | Demonstration of physical ability |
|--|----------------------------------|----------------------------|----------------------|--|
| (i) Deck officer, in- cluding pilot. | § 10.215(b)(1) | § 10.215(c) | § 10.215(d)(1) | § 10.215(e)(1) |
| (ii) Engineering of- ficer. | § 10.215(b)(2) | § 10.215(c) | § 10.215(d)(1) | § 10.215(e)(1) |
| (iv) Radio officer(v) Offshore installation manager, barge supervisor, or ballast control operator. | § 10.215(b)(2) § 10.215(b)(2) | § 10.215(c) § 10.215(c) | | § 10.215(e)(1) § 10.215(e)(1) |
| (vi) Able seaman (vii) QMED (viii) RFPNW (ix) RFPEW (x) Tankerman (xi) Food handler serving on vessels to which STCW does not apply. | \$ 10.215(b)(1) | \$ 10.215(c) | \$ 10.215(d)(1) | §10.215(e)(1) §10.215(e)(1) §10.215(e)(1) §10.215(e)(1) §10.215(e)(1) §10.215(e)(1) |
| (xii) Food handler serving on ves- sels to which STCW applies. | § 10.215(d)(2) | § 10.215(e)(1). | | |
| (xiii) Ratings, in- cluding entry level, serving on vessels to which STCW applies, other than those listed above. | § 10.215(e)(2). | | | |

- (b) Vision Test—(1) Deck Standard. An applicant must have correctable vision to at least 20/40 in one eye and uncorrected vision of at least 20/200 in the same eye. An applicant having lost vision in one eye must wait six months before application and provide a statement of demonstrated ability on his or her medical examination. The color sense must be determined to be satisfactory when tested by any of the following methods or an alternative test approved by the Coast Guard, without the use of color-sensing lenses:
- (i) Pseudoisochromatic Plates (Dvorine, 2nd Edition; AOC; revised

- edition or AOC-HRR; Ishihara 14-, 24-, or 38-plate editions).
- (ii) Eldridge-Green Color Perception Lantern.
- (iii) Farnsworth Lantern.
- (iv) Keystone Orthoscope.
- (v) Keystone Telebinocular.
- $\begin{array}{ccc} (vi) & SAMCTT & (School & of & Aviation \\ Medicine & Color & Threshold & Tester). \end{array}$
 - (vii) Titmus Optical Vision Tester.
 - (viii) Williams Lantern.
- (2) Engineering, radio operator, tankerman, and MODU standard. An applicant must have correctable vision of at least 20/50 in one eye and uncorrected vision of at least 20/200 in the

§ 10.215

same eye and need only have the ability to distinguish the colors red, green, blue and yellow.

- (3) Any applicant whose uncorrected vision does not meet the standards listed above, and is granted a waiver in accordance with paragraph (g) of this section, may not serve under the authority of the endorsement unless corrective lenses are worn and spare lenses are carried onboard a vessel.
- (c) Hearing test. If the medical practitioner conducting the general medical exam has concerns that an applicant's ability to hear may impact maritime safety, the examining medical practitioner, if not qualified to conduct the appropriate examinations, must refer the applicant to an audiologist or other hearing specialist to conduct an audiometer test and/or a speech discrimination test, as appropriate.
- (1) The audiometer test should include testing at the following thresholds: 500 Hz; 1,000 Hz; 2,000 Hz; and 3,000 Hz. The frequency responses for each ear should be averaged to determine the measure of an applicant's hearing ability. Applicants must demonstrate an unaided threshold of 20 decibels or less in each ear.
- (2) The functional speech discrimination test should be carried out at a level of 55 decibels. For issuance of an original MMC or endorsement the applicant must demonstrate functional speech discrimination of at least 90%. For renewal or raise of grade, the applicant must demonstrate functional speech discrimination of at least 80%. An applicant who is unable to meet the standards of the audiometer test, but who can pass the functional speech discrimination test, may be eligible for a medical waiver in accordance with paragraph (g) of this section.
- (d) General medical exam. (1) This exam must be documented and of such scope to ensure that there are no conditions that pose an inordinate risk of sudden incapacitation or debilitating complication. This exam must also document any condition requiring medication that impairs judgment or reaction time. Examples of physical impairment or medical conditions that could lead to disqualification include, but are not limited to, poorly controlled diabetes, myocardial infarc-

tions, psychiatric disorders, and convulsive disorders.

- (2) Food handlers are not required to submit to a general medical exam, but must obtain a statement from a licensed physician, physician assistant, or nurse practitioner attesting that they are free of communicable diseases.
- (e) Demonstration of physical ability. (1) A demonstration of physical ability is required only if the medical practitioner conducting the general medical exam is concerned that an applicant's physical ability may impact maritime safety or if table 10.215(a) shows that the mariner must pass a demonstration of physical ability, but he or she is not required to pass a general medical exam.
- (2) For an applicant to satisfactorily pass a demonstration of physical ability, the examiner must be satisfied that the applicant:
- (i) Has no disturbance in the sense of balance:
- (ii) Is able, without assistance, to climb up and down vertical ladders and inclined stairs;
- (iii) Would be able, without assistance, to step over a door sill or coaming;
- (iv) Would be able to grasp, lift, and manipulate various common shipboard tools; move hands and arms to open and close valve wheels in vertical and horizontal directions, and rotate wrists to turn handles:
- (v) Does not have any impairment or disease that could prevent normal movement and physical activities;
- (vi) Is able to stand and walk for extended periods;
- (vii) Does not have any impairment or disease that could prevent response to a visual or audible alarm; and
- (viii) Is capable of normal conversation.
- (f) Reports of medical and physical exams, demonstrations, and tests. These reports must be submitted within 12 months from the date signed by the licensed medical professional. When submitted with a complete application package these reports remain valid for 12 months from the date of the application approval.

Coast Guard, DHS § 10.219

(g) Medical waivers. Where an applicant does not possess the vision, hearing, or general physical condition necessary, the Coast Guard, after consultation with the examining licensed physician, licensed physician assistant, or licensed nurse practitioner may grant a waiver if extenuating circumstances warrant special consideration. An applicant may submit to the Coast Guard additional correspondence, records, and reports in support of a waiver. In this regard, recommendations from agencies of the Federal Government operating government vessels, as well as owners and operators of private vessels, made on behalf of their employees, will be given full consideration. Waivers are not normally granted to an applicant whose corrected vision in the better eye is not at least 20/ 40 for deck officers or 20/50 for engineer

(h) Individuals holding only a staff officer endorsement need not meet the medical and physical requirements of this section.

§ 10.217 Merchant mariner credential application and examination locations.

(a) Applicants may apply to any of the Regional Examination Centers. Applicants may contact the National Maritime Center at 100 Forbes Drive, Martinsburg, WV 25404, or by telephone 1–888–427–5662 or 304–433–3400. A list of Regional Examination Center locations is available through the Coast Guard Web site at http://www.uscg.mil/stcw.

(b) Coast Guard-designated facilities. The Coast Guard may designate additional locations to provide services to applicants for MMCs.

- (c) Exam Locations Abroad. (1) Coast Guard Merchant Marine Details abroad may conduct exams for ratings at locations other than the RECs, but are not prepared to conduct the physical examination where required. Merchant Marine Details may not issue regular rating endorsements, but temporary permits in lieu thereof. Merchant Marine Details will instruct the recipient of each temporary permit to present it to the OCMI, upon arrival in the first port in the United States in order to exchange it for a permanent credential.
- (2) The temporary permit must be accepted by the OCMI as proof that the bearer has complied with the rules and regulations governing the issuance of credentials, except as noted in the body of the temporary permit. The requirements noted in the exceptions will be complied with as in the case of other applicants.

(3) The written examinations are forwarded to the National Maritime Center by Merchant Marine Details. When an applicant with a temporary permit appears before an OCMI, that OCMI may request and obtain the examination from the National Maritime Center. Any OCMI who doubts the propriety of issuing a permanent credential instead of a temporary permit which has been issued by an overseas Merchant Marine Detail must inform the National Maritime Center fully as to the circumstances.

§10.219 Fees.

(a) Use table 10.219(a) of this section to calculate the mandatory fees for MMCs and associated endorsements.

TABLE 10.219(A) FEES

| | And you need | | | |
|--|----------------------------|-------|------|--|
| If you apply for | Evaluation then the fee is | | | |
| MMC with officer endorsement: | | | | |
| Original:. | | | | |
| Upper level | \$100 | \$110 | \$45 | |
| Lower level | | 95 | 45 | |
| Renewal | 50 | 45 | 45 | |
| Raise of grade | 100 | 45 | 45 | |
| Modification or removal of limitation or scope | 50 | 45 | 45 | |
| Radio officer endorsement: | | | | |
| Original | 50 | 45 | 45 | |
| Renewal | 50 | n/a | 45 | |
| Staff officer endorsements: | | | | |
| Original | 90 | n/a | 45 | |